

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|--|-------------------|------|
| APPLICANT: | Fabien Filhol et al. | CONFIRMATION NO.: | 9893 |
| SERIAL NO.: | 10/570,586 | | |
| FILING DATE: | 11/13/2006 | | |
| TITLE: | ELECTRICALLY ROTATIONALLY ACTUATABLE MICRO-MIRROR OR MICRO LENS | | |
| EXAMINER: | Choi, William C. | | |
| ART UNIT: | 2873 | | |

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CORRECTION TO FILING RECEIPT

We received the filing receipt for the above-identified patent application. Please amend the appropriate records to reflect the correct city of residence for inventors Fabien Filhol and Claire Divoux.

Please change the city of residence for inventor Fabien Filhol.

From:
Egrive
To:
Saint Egreve

Please change the city of residence for inventor Claire Divoux.

From:
Anney
To:
Annecy

Enclosed is a copy of the filing receipt marked with the appropriate corrections and a copy of the executed Declaration and Power of Attorney. Please provide applicant with an updated filing receipt reflecting the changes requested.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,
THELEN REID BROWN RAYSMAN & STEINER LLP

Dated: 06/27/2008

/Khaled Shami/
Khaled Shami
Reg. No. 38,745

THELEN REID BROWN RAYSMAN & STEINER LLP
P.O. Box 640640
San Jose, CA 95164-0640
Tel: (408) 292-5800
Fax: (408) 287-8040

REK
KS
JA

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
www.uspto.gov

| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY.DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|----------------|----------|----------|----------|
| 10/570,586 | 11/13/2006 | 1617 | 3180 | 034299-685 | 10 | 63 | 2 |

CONFIRMATION NO. 9893

46188
 THELEN REID & PRIEST, LLP
 P. O. BOX 640640
 SAN JOSE, CA 95164-0640

RECEIVED**FILING RECEIPT**

OC000000022052864

JAN 22 2007

TRBRS LLP
Patent Docket

Date Mailed: 01/19/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Saint Egreve

Fabien Filhol, Egrive, FRANCE;
 Claire Divoux, Annecy, FRANCE;

Annecy

Power of Attorney: The patent practitioners associated with Customer Number 46188.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/FR04/02265 09/07/2004

Foreign Applications

FRANCE 0350508 09/08/2003

If Required, Foreign Filing License Granted: 01/18/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/570,586**

Projected Publication Date: 04/26/2007

Non-Publication Request: No

Early Publication Request: No

REVIEWED BY DOCKET

Title

Electrically rotatably actuatable micro-mirror or micro lens

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Declaration, Power Of Attorney and Petition

Page 1 of 3

WE (I) the undersigned inventor(s), hereby declare(s) that :

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MICRO-MIRROR OR MICRO-LENS CAPABLE OF BEING ELECTRICALLY ACTUATED IN ROTATION.

the specification of which

- is attached hereto.
- was filed on

as Application Serial No.

and amended on

- was filed as PCT international application

Number PCT/FR2004/002265

on September 07, 2004

and was amended under PCT Article 19

on

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application (s)

| Application No. | Country | Day/month/Year | Priority Claimed |
|-----------------|---------|-------------------|---|
| 03 50508 | FRANCE | 08 September 2003 | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| _____ | _____ | _____ | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| _____ | _____ | _____ | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| _____ | _____ | _____ | <input type="checkbox"/> YES <input type="checkbox"/> NO |

We (I) hereby claim the benefit under Title 35, United States Code, § 119 (e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT International filing date of this application.

Application Serial No.

Filing Date

Status (pending, patented,
abandoned)

I hereby appoint practitioners associated with **Customer Number: 46,188** as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to:
Customer Number 46,188

We (I) undersigned declare that all statements made herein of my(our) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and such wilful false statements may jeopardize the validity of the application or any patent issuing therefrom.

FILHOL Fabien

NAME OF FIRST SOLE INVENTOR

Signature of Inventor

March 7, 2006

Date

Residence : 7 rue R. Trouchard
38120 St Egrine
FRANCE

Citizen of : France

Post Office Address : The same as residence

DIVOUX Claire

NAME OF SECOND INVENTOR

Signature of Inventor

March 7, 2006

Date

NAME OF THIRD INVENTOR

Signature of Inventor

Date

NAME OF FOURTH INVENTOR

Signature of Inventor

Date

NAME OF FIFTH INVENTOR

Signature of Inventor

Date

Residence : Chq n° François Divoux

12, rue Guillaume Fichet, 74000 Annecy
FRANCE

Citizen of : FRANCE

Post Office Address : The same as residence

Residence : _____

Citizen of : _____

Post Office Address : The same as residence

Residence : _____

Citizen of : _____

Post Office Address : The same as residence

Residence : _____

Citizen of : _____

Post Office Address : The same as residence